

# **Open Access Publishing in Astronomy - a Snapshot**

Uta Grothkopf, Silvia Meakins, Nathalia Escarlate & Leslie Kiefer

Library, European Southern Observatory (ESO)

library@eso.org, www.eso.org/libraries/

Open Science Fair, Madrid, 25-27 Sept. 2023

### Abstract

We present an overview of Open Access publishing in astronomy. After a brief introduction to the wide-ranging read-access and the core journals in astronomy, we provide a viewgraph of publishing options in the OA era, including Green OA, Gold OA, Diamond OA, and the Rights Retention Strategy. Pros and cons of each model are explained, and the current status of major astronomy journals is described and evaluated. In conclusion, we strongly promote the implementation of fair, transparent, collaborative, and equitable Open Access models.

### Background

#### **Read-Access in Astronomy**

- Wide-spread use of Green OA (arXiv/astro-ph eprint server)
- Core journals digitised and freely available back to vol. 1
- Publishers provide temporary access to selected articles
- Free access to core journals often one year after publication

# **Publishing Models**

#### **APC-based OA**

- **Costs:** often even higher than previously (subscriptions) (Exception: Overlay Journals)
- Not equitable, favours authors from wealthy organisations;
- patronising re. authors who have to ask for waivers
- Disruptive for authors not used to page charges
- New infrastructure needed to handle + monitor costs
- Unanswered ethical guestions re. distribution of available budget (who can publish? how often? where? up to which costs?)

#### **Core Astronomy Journals**

- Only four "core" journals provide 35+% of refereed literature
- Governed by Learned Societies, published by commercial publishers
- Astronomers' community strongly influences publishing, but doesn't always see need for OA beyond status-quo
- OA solutions with minimal researcher burden needed

#### **Rights Retention Strategy**

- Costs: only requires reliable repository
- Very promising alternative, but changes FAIRness (Find, Access, Interoperate, Reuse) of manuscripts, not journals
- Results in two parallel versions (Version of Record +
- Author-Accepted Manuscript, AAM)
- Feasible only for journals with extensive content besides research articles?



### Collaborative OA Models, e.g., Subscribe to Open (S2O)

Continued library subscriptions to achieve global OA;

libraries have previously shown interest in content.

Journals flip to OA if subscription-threshold for the year is reached.

- Costs: subscription fee as before
- Uses existing infrastructure (budget handling), can be implemented fast
- Is predictable and equitable
- Reflects specific information needs of specialised research community
- Workflow unchanged, OA achieved: high acceptance expected

# **Journals**

- Astronomical Journal (AJ), https://iopscience.iop.org/journal/1538-3881
- Astronomy & Astrophysics (A&A), www.aanda.org
- Astrophysical Journal (ApJ), https://iopscience.iop.org/journal/0004-637X Monthly Notices of the Royal Astronomical Society (MNRAS),
- https://academic.oup.com/mnras Nature / Nature Astronomy, <u>www.nature.com</u> / <u>www.nature.com/natastron/</u>
- The Open Journal of Astrophysics, astro.theoj.org
- Science, www.science.org

# Current Status of Core Journals in Astronomy

Regrettably, most (commercial) publishers see APC-based OA as the most promising option to secure their business. In astronomy, three of the four core journals (ApJ/AJ as of 2022 and MNRAS as of 2024) have chosen this path.

While The Open Journal of Astrophysics is also APC-based, it is an overlay journal and offers publishing at minimal (or no) cost.

The journal A&A applies the (slightly modified) pragmatic Subscribe to Open (S2O) model to achieve global OA, showing a strong commitment to equitable OA.

Among the high-impact journals, AAAS/Science permits the Rights Retention Strategy for papers of EC-funded authors and those of immediate relevance for public health, while Nature and Nature Astronomy only offer immediate posting of non-refereed versions of manuscripts.

# **Conclusions**

A main driver of the OA movement is to enable fair access to publications in a cost neutral way. We must avoid a new dependancy on high-price commercial publishers and strive for collaborative, equitable, sustainable models.

###